



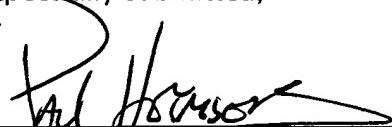
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|--|---|----|---|--|
| INFORMATION DISCLOSURE STATEMENT (IDS) |   |    |   | Title Of Invention<br><br>System and Method Providing Automatic Policy Enforcement in a Multi-Computer Service Application |
|  |   |    |   | Application Number<br>09/696,752   |
|  |   |    |   | Filing Date<br>10/24/2000  |
|  |   |    |   | First Named Inventor<br>Galen C. Hunt  |
|  |   |    |   | Art Unit<br>2155   |
|  |   |    |   | Examiner Name<br>Nguyen, Thu Ha T  |
| Sheet                                  | 1 | of | 1 | Attorney Docket Number<br>160320.01  |

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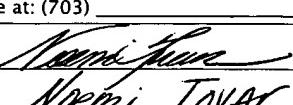
Sir:

Applicant respectfully requests consideration of the references listed on the attached form PTO/SB/08a&b and submitted herewith in compliance with 37 C.F.R. §§ 1.56, 1.97 and 1.98. Since this IDS is being filed concurrently with the filing of a Request for Continued Examination under 37 C.F.R. § 1.114, it is believed no fees are required. If any fees are required, however, the Commissioner is hereby authorized to charge the required fees, or credit any overpayments, to Deposit Account No. 50-0463.

Respectfully submitted,

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|  |   |      |         |
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| Name (Print/Type)  | Naomi Tovar   |      |         |



|                              |   |    |   |                        |  |
|------------------------------|---|----|---|------------------------|--|
| Substitute for form 1449/PTO |   |    |   | Title Of Invention     | System and Method Providing Automatic Policy Enforcement in a Multi-Computer Service Application |
|                              |   |    |   | Application Number     | 09/696,752   |
|                              |   |    |   | Filing Date            | 10/24/2000   |
|                              |   |    |   | First Named Inventor   | Galen C. Hunt  |
|                              |   |    |   | Art Unit               | 2155   |
|                              |   |    |   | Examiner Name          | Nguyen, Thu Ha T   |
| Sheet                        | 1 | of | 2 | Attorney Docket Number | 160320.01  |

| U.S. PATENT DOCUMENTS          |                       |   |                                |   |   |
|--------------------------------|-----------------------|---|--------------------------------|---|---|
| Examiner Initials <sup>1</sup> | Cite No. <sup>1</sup> | U.S. Patent Document                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                                |                       | Number – Kind Code <sup>2</sup> (if<br>known) |                                |   |   |
| A                              | 6,047,325             |   | 04/04/2000                     | Jain et al  |   |
| B                              | 6,141,749             |   | 10/31/2000                     | Coss et al  |   |
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|                    |                 |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Information Disclosure Statement—PTO/SB/08a&b  
Matter No.: 160320.01

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS |                       |   |                |
|---|-----------------------|---|----------------|
| Examiner Initials*                                | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|   | O                     | SCOTT NESBITT, Web E-Mail Services, Link-up. Medford: May/Jun 1999. Vol. 16, Iss. 3; pg 24, 1 pgs   |                |
|   | P                     | JIM DEROEST, Hardening AIX Security, SunExpert. Brookline: Sept 1998. Vol. 9, Iss. 9; pg 60, 4 pgs  |                |

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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